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## UNITED STATES PATENT AND TRADEMARK OFFICE

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COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/081,724	02/20/2002	Mark Thomas Lavelle	9623E-035000

20350  
TOWNSEND AND TOWNSEND AND CREW, LLP  
TWO EMBARCADERO CENTER  
EIGHTH FLOOR  
SAN FRANCISCO, CA 94111-3834

CONFIRMATION NO. 9459

## FORMALITIES LETTER



\*OC000000007628819\*

Date Mailed: 03/13/2002

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 740 to complete the basic filing fee for a non-small entity. If appropriate, applicant may make a written assertion of entitlement to small entity status and pay the small entity filing fee (37 CFR 1.27).*
- Total additional claim fee(s) for this application is \$354.
  - \$270 for 15 total claims over 20.
  - \$84 for 1 independent claims over 3 .
- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 1224.

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*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

06/05/2002 ZJUHAR1 000000027 201430 100817P4

1 FC:101	740.00 CH
02 FC:102	84.00 CH
03 FC:103	270.00 CH
14 FC:105	130.00 CH

JUN 3 2002

# TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

Total Number of Pages in This Submission

Application Number	10/081,724
Filing Date	February 20, 2002
First Named Inventor	Lavelle, Mark Thomas
Group Art Unit	2631
Examiner Name	Not yet assigned
Total Number of Pages in This Submission	1
Attorney Docket Number	9623E-035000

## ENCLOSURES (check all that apply)

<input checked="" type="checkbox"/> Fee Transmittal Form	<input type="checkbox"/> Assignment Papers (for an Application)	<input type="checkbox"/> After Allowance Communication to Group
<input type="checkbox"/> Fee Attached	<input type="checkbox"/> Drawing(s)	<input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences
<input type="checkbox"/> Amendment / Response	<input type="checkbox"/> Licensing-related Papers	<input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)
<input type="checkbox"/> After Final	<input type="checkbox"/> Petition Routing Slip (PTO/SB/69) and Accompanying Petition	<input type="checkbox"/> Proprietary Information
<input type="checkbox"/> Affidavits/declaration(s)	<input type="checkbox"/> Petition to Convert to a Provisional Application	<input type="checkbox"/> Status Letter
<input checked="" type="checkbox"/> Extension of Time Request	<input checked="" type="checkbox"/> Power of Attorney	<input checked="" type="checkbox"/> Other Enclosure(s) (please identify below):
<input type="checkbox"/> Express Abandonment Request	<input type="checkbox"/> Terminal Disclaimer	Executed Declaration Statement Under 37 CFR 3.73(b) w/copy of assignment
<input type="checkbox"/> Information Disclosure Statement	<input type="checkbox"/> Request for Refund	Copy of Notice to File Missing Parts Return Postcard
<input type="checkbox"/> Certified Copy of Priority Document(s)	<input type="checkbox"/> CD, Number of CD(s)	
<input type="checkbox"/> Response to Missing Parts/ Incomplete Application		
<input checked="" type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	Remarks	The Commissioner is authorized to charge any additional fees to Deposit Account 20-1430.

## SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm and Individual name	Townsend and Townsend and Crew LLP Babak Kusha	Reg. No. 51,095
Signature		
Date	5/15/02	

## CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 on this date:

5/15/02

Typed or printed name	Linda Shaffer		
Signature			
	Date	5/15/02	

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

WC 9041187 v1

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O I P E JCA  
JUN 03 2002  
PATENT  
FEE TRANSMITTAL  
for FY 2001

# FEE TRANSMITTAL for FY 2001

Patent fees are subject to annual revision.

TOTAL AMOUNT OF PAYMENT (\$ 1334

## Complete if Known

Application Number	10/081,724	COPY OF PAPERS ORIGINALLY FILED
Filing Date	February 20, 2002	
First Named Inventor	Lavelle, Mark Thomas	
Examiner Name	Not yet assigned	
Group Art Unit	2631	
Attorney Docket No.	9623E-035000	

METHOD OF PAYMENT				FEE CALCULATION (continued)			
<input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge indicated fees and credit any over payments to:  <b>20-1430</b>				<b>3. ADDITIONAL FEES</b>			
<input type="checkbox"/> Deposit Account Number  <b>Townsend and Townsend and Crew LLP</b>				Large Fee Code	Entity Fee (\$)	Small Entity Fee Code (\$)	Fee Description
<input checked="" type="checkbox"/> Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17  <input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27				105	130	205	Surcharge - late filing fee or oath
				127	50	227	Surcharge - late provisional filing fee or cover sheet.
				139	130	139	Non-English specification
				147	2,520	147	For filing a request for reexamination
				112	920*	112	Requesting publication of SIR prior to Examiner action
				113	1,840*	113	Requesting publication of SIR after Examiner action
				115	110	215	Extension for reply within first month
				116	400	216	Extension for reply within second month
				117	920	217	Extension for reply within third month
				118	1,440	218	Extension for reply within fourth month
				128	1,960	228	Extension for reply within fifth month
				119	320	219	Notice of Appeal
				120	320	220	Filing a brief in support of an appeal
				121	280	221	Request for oral hearing
				138	1,510	138	Petition to Institute a public use proceeding
				140	110	240	Petition to revive – unavoidable
				141	1,280	241	Petition to revive – unintentional
				142	1,280	242	Utility issue fee (or reissue)
				143	460	243	Design issue fee
				144	620	244	Plant issue fee
				122	130	122	Petitions to the Commissioner
				123	50	123	Petitions related to provisional applications
				126	180	126	Submission of Information Disclosure Stmt
				581	40	581	Recording each patent assignment per property (times number of properties)
				146	740	246	Filing a submission after final rejection (37 CFR § 1.129(a))
				149	740	249	For each additional invention to be examined (37 CFR § 1.129(b))
				179	740	279	Request for Continued Examination (RCE)
				169	900	169	Request for expedited examination of a design application
				Other fee (specify)			
				The Commissioner is authorized to charge any additional fees to the above noted Deposit Account.			
				*Reduced by Basic Filing Fee Paid		SUBTOTAL (3) (\$240)	
				**or number previously paid, if greater; For Reissues, see above			

SUBMITTED BY		Complete (if applicable)		
Name (Print/Type)	Sabak Kusha	Registration No. (Attorney/Agent)	51,095	Telephone 925-472-5000
Signature	<i>Sabak Kusha</i>			Date 5/15/02

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

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